



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard R. REISMAN

Atty. Docket No: RR-00-00104

RECEIVED

Serial Number: 09/553,397

Group Art Unit: 2182

NOV 21 2003

Filed: April 20, 2000

Examiner: T.Q. NGUYEN

Technology Center 2100

Title: SOFTWARE AND METHOD FOR MONITORING A DATA
STREAM AND FOR CAPTURING DESIRED DATA WITHIN
THE DATA STREAM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97(c) and (e)(2)

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

Applicant submits the instant Information Disclosure Statement under the provisions of 37 C.F.R. § 1.97(c) and (e) (2). In particular, Applicant's undersigned representative hereby states and declares that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of Applicant and Applicant's undersigned representative, after making a reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Robert A. Westerlund", written over a horizontal line.

Robert A. Westerlund
Reg. No. 31,439

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Date: November 17, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.
RR-00-001USIn Re Application Of: **Richard R. REISMAN**

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2182Title: **SOFTWARE AND METHOD FOR MONITORING A DATA STREAM AND CAPTURING DESIRED DATA WITHIN THE DATA STREAM****RECEIVED**

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Technology Center 2100

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☒ the statement specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p).